

FINANCIAL SERVICES

Industry Challenges

- Sarbanes-Oxley, FINRA, and SOC compliance
- Increased competition
- Ever-changing financial landscape

Business Value Proposition

- Industry leading physical records management feature set
- Advanced legal holds management
- Citation reference support
- Collaboratively design and implement an unlimited number of records retention schedules for the archiving or destruction of records
- Track records from point of creation through archiving and final disposition
- Restrict sensitive data via the configuration of an unlimited number of role-based security groups
- Maintain complete audit histories for every record
- Minimize “lost” records with low-impact user compliancy and proven recovery strategies
- Maintain records integrity via proven inventory practices
- Maximize end-user accuracy and efficiency through workflow improvements including automated data entry, records requesting, bulk updating, and merging of duplicate records
- Analyze process metrics identifying bottlenecks and potential workflow improvement areas
- Encourage end-user “buy-in” with intuitive interface

Technology Highlights

- True thin client enterprise application supporting all contemporary browsers
- Enterprise Content Management integration
- Barcode and Radio Frequency Identification (RFID) ready
- Available on-premises or in the cloud

Existing Client Implementations

- Banks & Financial Institutions
- Insurance Firms
- Accounting and Advisory Firms

